

**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number: 10/563,506  
Filing Date: 07/09/2004  
First Named Inventor: BRANTON  
Title: IMPROVEMENTS RELATING TO SMOKING ARTICLE FILTERS  
Art Unit:  
Examiner Name:  
Attorney Docket Number: RD 446

I hereby revoke all previous powers of attorney given in the above identified application.

I hereby appoint:

☒ Practitioner associated with the Customer Number:

22884

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my attorney (or agent) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for this above identified application to:

☒ The address associated with the above mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm's Individual Name:

Address:

City:

State:

Zip:

Country:

Telephone:

Fax:

I am the:

☐ Applicant/Inventor:

☒ Assignee of record of the entire interest. See 37 CFR 1.71.  
Statement under 37 CFR 1.72(b) is enclosed. (Form PTO 600/6)

SIGNATURE OF APPLICANT OR ASSIGNEE OF RECORD

Signature:

*Lucy Elizabeth Henderson*

Date:

Name:

Lucy Elizabeth Henderson

Telephone:

44-29-80783727

Title and Company:

Assistant Company Secretary (British American Tobacco Investments Limited)

NOTE: Signatures of all the inventor or assignees of record of the entire interest of the application are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This condition of membership is required by 37 CFR 1.31, 1.32 and 1.33. The declaration is required in order to obtain a patent benefit by the public, which is to be used by the USPTO to process an application. Confidentiality is governed by 37 CFR 1.22 and 37 CFR 1.11 and 1.14. This declaration is intended to be a statement to complete, including signature, preparation and submission of a patent form to the USPTO. This will only be done when the individual date. Any continuation of the report of time you require to complete the form and a suggestion for making the form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1400, Alexandria, VA 22313-1400. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1400, Alexandria, VA 22313-1400.

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PTO/SB/01A (10-06)

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

<b>Title of Invention</b>	<b>IMPROVEMENTS RELATING TO SMOKING ARTICLE FILTERS</b>
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 10/563,506 filed on to be determined
- ☐ As amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

<b>FULL NAME OF INVENTOR(S)</b>	
Inventor one: <u>Peter James Branton</u>	
Signature: <u>P. J. Branton</u>	Citizen of: <u>Great Britain</u>
Inventor two: <u>Philip Richard Hunt</u>	
Signature: <u>[Signature]</u>	Citizen of: <u>Great Britain</u>
<input type="checkbox"/> Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.	

This collection of information is required by 35 U.S.C. 119 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: BRANTON et al.

Application No./Patent No.: 10/563,506 Filed/Issue Date: 07/09/2004 (international)

Entitled: IMPROVEMENTS RELATING TO SMOKING ARTICLE FILTERS

British American Tobacco (Investments) Limited Company Incorporated under the laws of England & Wales

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
 2. ☐ an assignee of less than the entire right, title, and interest.  
 The extent (by percentage) of its ownership interest is 4%

In the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. This assignment was recorded in the United States Patent and Trademark Office at Reel          Frame         , or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

1. From:          To:           
 The document was recorded in the United States Patent and Trademark Office at Reel          Frame         , or for which a copy thereof is attached.

2. From:          To:           
 The document was recorded in the United States Patent and Trademark Office at Reel          Frame         , or for which a copy thereof is attached.

3. From:          To:           
 The document was recorded in the United States Patent and Trademark Office at Reel          Frame         , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08.)

The undersigned, whose title is supplied below, is authorized to act on behalf of the assignee:

Lucy Henderson  
 Signature

04/04/2006

Lucy Elizabeth Henderson  
 Printed or Typed Name

Date  
44-25-60763/27

Assistant Company Secretary  
 Title

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file, aid by the USPTO to process, and collect. Confidentiality is provided by 35 U.S.C. 102 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. This will vary depending upon the individual case. Any comments on the burden of this collection of information should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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